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# Low radioactive material screening and background control for the PandaX-4T experiment



# The PandaX-4T collaboration

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ABSTRACT: PandaX-4T is a ton-scale dark matter direct detection experiment using a dual-phase TPC technique at the China Jinping Underground Laboratory. Various ultra-low background technologies have been developed and applied to material screening for PandaX-4T, including HPGe gamma spectroscopy, ICP-MS, NAA, radon emanation measurement system, krypton assay station, and alpha detection system. Low background materials were selected to assemble the detector. Surface treatment procedures were investigated to further suppress radioactive background. Combining measured results and Monte Carlo simulation, the total material background rates of PandaX-4T in the energy region of 1–25 keV ee are estimated to be  $(9.9 \pm 1.9) \times 10^{-3}$  mDRU for electron recoil and  $(2.8 \pm 0.6) \times 10^{-4}$  mDRU for nuclear recoil. In addition, <sup>nat</sup>Kr in the detector is estimated to be < 8 ppt.

Keywords: Dark Matter and Double Beta Decay (experiments), Rare Decay

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#### 1 Introduction

A variety of astronomical and cosmological observations have implied that the universe contains more matter than ordinary matter, i.e. dark matter (DM) [1]. Beyond the standard model, the Weakly Interacting Massive Particle (WIMP) is a competitive candidate for DM. Currently, dual-phase xenon detectors are leading in the search for WIMPs in a mass range from a few  $\text{GeV/c}^2$  to several  $\text{TeV/c}^2$  [2–5]. The PandaX collaboration has constructed a series of dual-phase xenon time projection chambers (TPCs) to search for WIMPs at the China Jinping underground laboratory (CJPL) [6]. PandaX-II provided that the lowest exclusion value on the spin-independent cross section is  $2.2 \times 10^{-46}$  cm<sup>2</sup> (90% C.L.) at a WIMP mass of  $30\,\text{GeV/c}^2$  with  $132\,\text{tonne-day}$  exposure in  $2020\,$  [7]. PandaX-4T located in the B2 hall of CJPL-II is now on commissioning and taking data [8, 9] with an active mass of 4 tonnes.

The PandaX-4T detector is placed in an ultra-pure water shielding with a height of 13 m and a radius of 5 m to reduce the external gammas and neutrons from the laboratory environment. The structure of PandaX-4T cryostat system and TPC are depicted in figure 1. The cryostat system is composed of an outer vessel (OV) and an inner vessel (IV) [10, 11]. Each vessel consists of a barrel, two domes, and a flange. The TPC, which is the core of the experiment, is placed inside the inner vessel and immersed in liquid xenon. Two arrays of photomultiplier tubes (PMTs) are set at the top and bottom of the TPC to collect photon

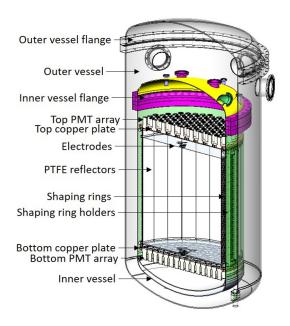


Figure 1. Layout of the PandaX-4T detector.

signals. Copper plates are built to hold the PMT arrays. Between the copper plates there is the field cage. Shaping rings made of copper are placed around the TPC to maintain the drift electric field. Polytetrafluoroethylene (PTFE) reflectors are placed at the inside layer of the field cage to improve the efficiency of photon collection.

Radioactive background may mimic interaction signals between DM and ordinary matter. Thus, it is important to suppress, control, and better understand the background in DM experiments. PandaX-4T has developed and utilized various detection technologies to precisely measure the bulk radioactivity of materials, radon emanation, krypton concentration in the xenon target, and the radon daughters contamination on the surface. Thus, the total material background rate can be evaluated.

Section 2 introduces the main origins of the background contamination in PandaX-4T. Section 3 illustrates that the various techniques are established, the lowest radioactivity materials are selected to build the detector, and total background of PandaX-4T can be calculated with the results of the measurements. Section 4 gives the final background estimation based on the screening results and simulation. Detailed screening results of HPGe counting stations and summarized radioactivity input of background estimation are shown in the appendix.

# 2 Radioactive Origins

The background signals can be divided into two categories. Signals caused by interactions with atomic electrons are called electron recoil (ER) events, while signals resulted from interactions with the nuclei of the xenon target are nuclear recoil (NR) events [6, 12].

Components	Quantities	Sample Name	Detector
PMT R11410	368 pcs	PMT R11410	JP-I
Window of PMT R11410	368 pcs	Faceplate of PMT R11410	JP-I
Stem of PMT R11410	368 pcs	Ceramic stem body of PMT R11410	JP-I
Base of PMT R11410	368 pcs	Base of PMT R11410	JP-I, JP-II
Spring of PMT R11410	$1104~\mathrm{pcs}$	Spring of PMT R11410	JP-I
PMT R8520	$144~\mathrm{pcs}$	PMT R8520	JP-II
Base of PMT R8520	$144~\mathrm{pcs}$	Base of PMT R8520	JP-II
IV barrel and IV dome	500  kg	P4TL	JP-I
OV barrel	960  kg	P4TJ	JP-I
OV domes and electrodes	$400~\mathrm{kg}$	P4TI, P4TK	JP-I
Flanges and bolt screws	1.20 tonne	P4TG, P4TH	JP-I
Threaded insert	8 pcs	Threaded insert	JP-I
Shaping rings and copper plates	200  kg	Copper #1, Copper #2	ICP-MS
PTFE holders and reflectors	$200~\mathrm{kg}$	PTFE	NAA

Table 1. Sample materials used in PandaX-4T construction.

Benefiting from the 2400-m rock overburden, the cosmic ray flux at CJPL can be suppressed to  $3.53 \times 10^{-10}~\rm cm^{-2}s^{-1}$  [13]. Thus, the muon-induced background is negligible. Therefore, the background of PandaX-4T originates from three main sources: radioactive isotopes in materials (bulk and surface), xenon target impurities, and neutrino-related background.

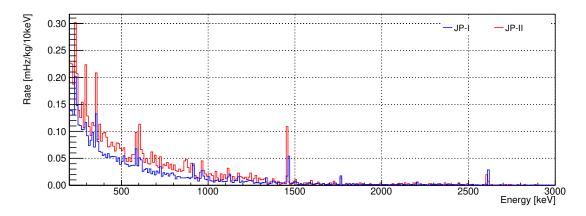
Long-lived radioactive isotopes such as  $^{40}$ K,  $^{232}$ Th, and  $^{238}$ U exist in all detector materials. Both their decays and subsequent decays from their daughter isotopes may result in background contributions. Also,  $^{222}$ Rn, a progeny of  $^{238}$ U decay, breaks the secular equilibrium of the  $^{238}$ U decay chain due to out-gassing radon which diffuses uniformly in the xenon target. Conversely,  $^{220}$ Rn from the  $^{232}$ Th chain can be neglected because of its short half-life (55 s). Radon daughters with longer half-life ( $^{210}$ Pb and  $^{210}$ Po) deposited on the detector surface may contribute to surface contamination. Industrial isotopes introduced during materials production like  $^{60}$ Co and  $^{137}$ Cs also lead to background contributions. For those isotopes, gamma and beta decays result in ER background. In addition, some isotopes in  $^{232}$ Th,  $^{235}$ U, and  $^{238}$ U decay chains release neutrons either through spontaneous fission or via ( $\alpha$ , n) reactions, which leads to NR background events [14–16].

Xenon target impurities also produce background events in the DM search energy range. For example, <sup>85</sup>Kr is a beta emitter in commercial liquid xenon, which will result in ER background events.

Neutrino-related background cannot be suppressed.  $^{136}$ Xe, a two-neutrino double-beta decay isotope comprising 8.9% of natural xenon, makes ER background contributions. Solar neutrinos are irreducible so that related estimations need to be taken into consideration.

# 3 Low Background Assay Techniques and Screening Results

To effectively determine the quantities of radioactivity from the material used in PandaX-4T construction and the impurity in the xenon target, PandaX-4T has established an ultra-low



**Figure 2.** Background spectra of JP-I (blue line) and JP-II (red line) HPGe detectors. The counting rate is normalized by the mass of germanium crystal.

background platform, including two high purity germanium (HPGe) counting stations, inductively coupled plasma mass spectrometry (ICP-MS), neutron activation analysis (NAA), radon emanation measurement systems, krypton assay station, and alpha detection system. Batches of candidate samples used in PandaX-4T construction were measured and selected with these techniques. A summary of samples assayed and used in PandaX-4T is shown in table 1. During the raw material or parts production, processing, and transportation, the surface of materials used in detector construction may be contaminated due to oxidization, dust fall-out, and long-lived radon progenies (<sup>210</sup>Pb and <sup>210</sup>Po) deposition, resulting in increase of radioactivity on the surface of materials. Hence, PandaX-4T has investigated surface cleaning procedures and recipes in order to further reduce surface background contamination. In this section, radioassay techniques, their corresponding counting results, and surface cleaning procedures will be shown and discussed.

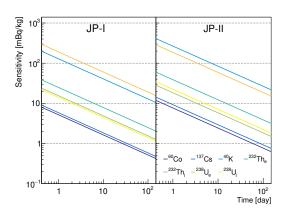
# 3.1 HPGe Counting Stations

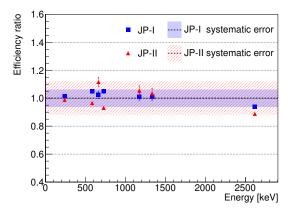
At CJPL, two gamma counting stations (JP-I and JP-II in the following) are assembled with high-purity germanium crystals, a shielding structure with 10-cm-thick copper and 20-cm-thick lead to reject ambient gamma/neutron background, and a vacuum chamber in order to further reduce air radon [17, 18]. The background rate reaches 1.0 counts/min and 0.3 counts/min for JP-I and JP-II respectively at the energy range from 200 to 3000 keV. The background spectra are shown in figure 2. Secular equilibrium breaks at  $^{222}$ Rn in the  $^{238}$ U decay chain. Hence, the radioactivity of the  $^{238}$ U early chain ( $^{238}$ U<sub>e</sub>) and late chain ( $^{238}$ U<sub>l</sub>) are measured separately. Similarly, the  $^{232}$ Th decay chain is split between  $^{228}$ Ac and  $^{228}$ Th. The characteristics of the two detectors are presented in table 2. Figure 3 shows the sensitivity of JP-I and JP-II over duration for a typical measurement of PTFE sample with a mass of 173 g. The main reason for the sensitivity difference between the two detectors is the size of the germanium crystal. A GEANT4-based [19] simulation program is built to calculate detection efficiencies of each sample at given energies.

Using three radioactive sources of <sup>60</sup>Co, <sup>137</sup>Cs, and <sup>232</sup>Th with known activities and geometries, cross-calibration is performed to verify the consistency of the measurements

Detector	JP-I	JP-II
Manufacturer	ORTEC	Canberra
Type	P-type coaxial	Broad energy
Mass [kg]	3.69	0.63
Relative efficiency	175%	34%
Integral [200, 3000] keV [counts/min]	1.0	0.3
$FWHM@~662\mathrm{keV}~\mathrm{[keV]}$	2.4	2.7
$FWHM@~1332\mathrm{keV}~\mathrm{[keV]}$	3.0	2.8

Table 2. Characteristics of the HPGe detectors.





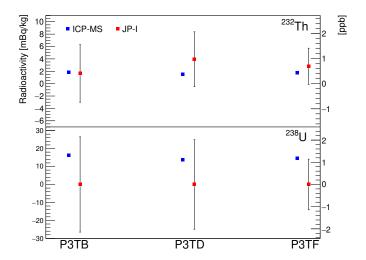
detectors for a PTFE sample.

Figure 3. Sensitivity of JP-I and JP-II HPGe Figure 4. Efficiency ratios of measurement and simulation for JP-I and JP-II HPGe detectors.

of JP-I and JP-II. It can be obtained from figure 4 that the efficiency ratios of JP-I and JP-II are around 1.0 in given energies. And 6%/12% systematic errors are assigned to the JP-I/JP-II detectors.

Screening results of JP-I and JP-II are listed in table 11, appendix A. The uncertainties are given as  $\pm 1\sigma$  (statistical error) of detected activities or at 90% confidence level (C.L.) for upper limits. All the samples are cleaned by certain cleaning methods before measurements. Generally, samples are wiped with alcohol and cleaned ultrasonically. Copper is treated by rigorous chemical procedures and PTFE is soaked with ultra-pure nitric acid. The samples measured with the HPGe detectors could be divided into three categories: metal, PMT components, and PTFE. Among them, the radioassay results of stainless steel (SS) and PMT components are used in the calculation of experiment background.

SS is widely used in detector construction, such as IV, OV, electrodes, etc. Hence, different batches of customized low-background SS from Taiyuan Iron and Steel Company (TISCO) are measured and selected. The batch number of SS finally used in PandaX-4T construction and parts applied correspondingly can be seen in table 1. PMT R11410, PMT R8520 from Hamamatsu and their components are also measured. It is worth mentioning that, capacitors, as the most radioactive electronic components of PMT bases, are carefully



**Figure 5.** Cross validation for ICP-MS and JP-I HPGe for SS samples with batch number P3TB, P3TD, and P3TF.

selected. From table 11, capacitors from Yageo supplier are the least radioactive, followed by capacitors from Knowles supplier. Further considering trade-off of their electrical properties at low temperature and radioactivity, capacitors from Knowles were finally chosen.

#### 3.2 **ICP-MS**

ICP-MS is a technology providing quick multi-elemental analysis with high sensitivity and wide atomic mass range. The intrinsic radioactivity (mainly <sup>238</sup>U and <sup>232</sup>Th) of sample materials can be indicated by measuring the concentration of long-lived radionuclides based on their mass-to-charge ratios. The ICP-MS assays for PandaX-4T were performed in a Class 10 cleanroom facility utilizing an Agilent 7900 spectrometer. In addition, an analytical balance, a sub-boiling distiller, a microwave digestion system, and an ultra-pure water system are equipped in the cleanroom. Different sample preparation methods are developed depending on the properties of samples, including dilution method and ion-exchange method with TEVA/UTEVA resins, etc. The details of copper and SS measurements can be found in [20–22].

ICP-MS measures the  $^{238}$ U and  $^{232}$ Th content directly, which should be consistent with the radioactivity of  $^{238}$ U<sub>e</sub> and  $^{232}$ Th<sub>e</sub> measured by HPGe detectors. Cross validation with ICP-MS and HPGe using three batches of SS (batch number: P3TB, P3TD, and P3TF) from TISCO is performed. In these ICP-MS measurements, the SS samples are prepared using the dilution method [22]. By comparing the measurement results in table 4 and table 11, it can be concluded that they are consistent with each other within uncertainties (shown in figure 5).

ICP-MS can not only measure bulk radioactivity, but also surface radioactivity by dissolving skin layer of samples during preparation procedures. Since detector components like shaping rings and copper plates inside TPC are very close to the central xenon target, cleaning procedures which aims at reducing surface  $^{238}$ U and  $^{232}$ Th content for copper were

No.	Pickling recipes	$^{232}$ Th [mBq/kg]	$^{238}$ U [mBq/kg]
1	None	$25.62 \pm 0.66$	$64.78 \pm 3.14$
2	Touch repeatedly by hand	$83.08 \pm 1.37$	$78.10 \pm 3.21$
3	$1\% \text{ H}_2\text{SO}_4 + 3\% \text{ H}_2\text{O}_2$	$5.54 \pm 0.07$	$21.94 \pm 0.49$
4	$15\% \text{ HNO}_3 + 2\% \text{ H}_2\text{O}_2$	$22.22 \pm 0.14$	$44.63 \pm 1.14$
5	$5\% \ C_6H_8O_7 + 15.7\% \ H_2O_2$	$12.17\pm0.30$	$48.88 \pm 1.25$

**Table 3.** Investigation of pickling recipes to remove  $^{238}$ U and  $^{232}$ Th on copper surface. The results show the relative  $^{238}$ U and  $^{232}$ Th change with dissolve a skin layer of copper.

Name	Supplier	Material	unit	$^{232}\mathrm{Th}$	<sup>238</sup> U
Metal					
P3TB	TISCO	Stainless steel	$\mathrm{mBq/kg}$	$1.86\pm0.24$	$13.34 \pm 0.48$
P3TD	TISCO	Stainless steel	$\mathrm{mBq/kg}$	$1.52\pm0.25$	$13.66 \pm 0.72$
P3TF	TISCO	Stainless steel	$\mathrm{mBq/kg}$	$1.73 \pm 0.19$	$14.54 \pm 0.41$
Copper #1	LUOYANG	Copper	$\mathrm{ppt}^1$	$1.27\pm0.34$	$6.20 \pm 0.32$
Copper #2	LUOYANG	Copper	$\operatorname{ppt}$	< 0.55	$2.85 \pm 0.21$
Water					
Underground water $\#1$	_	water	$\operatorname{ppt}$	$0.28\pm0.04$	$142.06 \pm 0.60$
Underground water $\#2$	_	water	$\operatorname{ppt}$	$0.39 \pm 0.06$	$81.60 \pm 3.20$
Ultra-pure water	_	water	$\operatorname{ppt}$	$0.06\pm0.02$	$(3.00 \pm 0.10) \times 10^{-2}$
Storage water (1 day)	_	water	$\operatorname{ppt}$	$0.14\pm0.03$	$0.35 \pm 0.01$
Storage water (7 days)	_	water	$\operatorname{ppt}$	$0.14\pm0.02$	$0.32\pm0.05$
Storage water (15 days)	_	water	$\operatorname{ppt}$	$0.14 \pm 0.01$	$0.27 \pm 0.01$

Table 4. ICP-MS measurement results (upper limit with 90% C.L. is given).

carried out. The procedure consists of four steps: degrease, pickling, passivation and drying. The first step is to immerse the sample in acetone for ultrasonic cleaning (15 mins), and then in alcohol for another 15 minutes. Second, different acid solutions  $\rm H_2SO_4$ ,  $\rm HNO_3$ , and citric acid ( $\rm C_6H_8O_7$ ) were tested. Third, samples were immersed in 1%  $\rm C_6H_8O_7$  for passivation. Last, blow the samples with nitrogen gas and bake at 65 °C for 30 mins. The results of different pickling solution are shown in table 3. The first two measurements serve as control groups. It can be concluded that all three cleaning procedures have effect on surface radioactivity reduction, while the pickling recipe of 1%  $\rm H_2SO_4 + 3\%~H_2O_2$  performs best among them.

Screening results of ICP-MS are presented in table 4. As mentioned before, copper is another important metal used in PandaX-4T detector. Low background copper from LUOYANG is chosen. Copper samples are processed with the cleaning procedure mentioned above before measurements. ICP-MS gives precise measurements and the results are applied to the background calculation of the experiment. The JP-I HPGe also provides a measurement for copper, while it can only give an upper limit due to copper's low

 $<sup>\</sup>overline{}^{1}$ 1 ppt is equal to 0.004 mBq/kg for  $\overline{}^{232}$ Th and 0.012 mBq/kg for  $\overline{}^{238}$ U.

No.	Chamber	Cold trap	Volume [L]	Blank [mBq]	Efficiency [%]
1	Cylinder	no	7.4	$1.07 \pm 0.01$	$24.6 \pm 0.2$
2	T-type	no	0.7	$0.08 \pm 0.01$	$12.7\pm1.0$
3	T-type	yes	0.7	< 0.10	$7.9\pm0.1$

Table 5. Performance of radon emanation measurement systems.

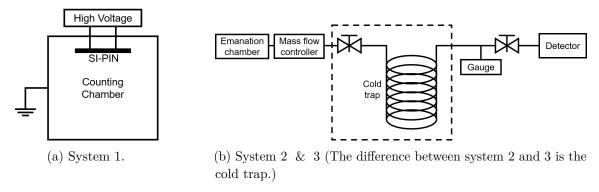


Figure 6. Schematics of radon emanation systems.

radioactivity (table 11). Various water samples which are used for water shielding were measured to examine the effect of the purification system and long-term water soaking contamination. It can be obtained that the radioactivity of purified water is 4 orders of magnitude less than that of underground water for  $^{238}$ U and 10 times less for  $^{232}$ Th. Meanwhile, the radioactivity of ultra-pure water in the shielding tank did not change much over time, which indicates no obvious surface contamination exists from SS water shielding tank within 15-day soaking time. With Monte Carlo simulation, the background due to the intrinsic  $^{238}$ U and  $^{232}$ Th in the water can be neglected ( $\sim 10^{-7}$  mDRU). Thus, the purified water is able to serve as water shielding to reduce external gammas and neutrons.

#### 3.3 Radon Emanation systems

The  $^{222}$ Rn emanation rate can be measured by the decay rates of the radon daughter isotopes, such as  $^{218}$ Po ( $t_{1/2}=3.1$  mins) and  $^{214}$ Po ( $t_{1/2}=164~\mu s$ ) [23, 24]. The PandaX-4T collaboration has designed three radon emanation measurement systems. Table 5 lists the details and figure 6 shows the schematics of the three systems. These systems use an electrostatic method to collect the positively charged ions of radon daughter nuclei under electric field and use SI-PIN diodes to measure energy deposition. The efficiency of each system is calibrated using a  $^{226}$ Ra radioactive source with known activity, which includes both the detection and transfer efficiencies. A cold trap at liquid nitrogen temperature can be added to the system to achieve  $^{222}$ Rn enrichment. 360 L gas emanated from samples could be collected with a cold trap at a flow rate of 1 slpm for six hours to achieve  $^{222}$ Rn enrichment by a factor of 500. Depending on the properties of the sample to be tested, different systems are chosen to make appropriate measurements.

Name	Supplier	Detector	Unit	Rate
PMT R11410	Hamamatsu	1	$\mathrm{mBq/pc}$	< 0.03
Distillation tower	_	3	mBq	$19.4 \pm 5.3$
Inner vessel	_	3	mBq	<17.9
Getter	SAES	2	mBq	< 0.4
Getter	SimPure	2	mBq	< 0.4
Diaphragm pump running (exposed to air)	KNF	2	mBq	$3.1\pm0.5$
Diaphragm pump not running (wrapped)	KNF	2	mBq	$1.7\pm0.3$
Diaphragm	KNF	1	mBq/pc	< 0.02
Zr beans	NANJING YOUTIAN	1	$\mathrm{mBq/m^2}$	<13.06

**Table 6.** Radon emanation measurement results (upper limit with 90% C.L. is given). The serial number of detector corresponds to table 5. All the measurement are performed at room temperature.

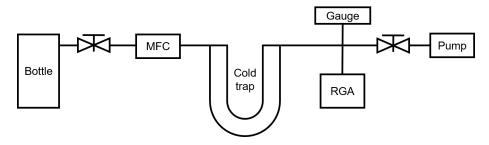


Figure 7. Layout of krypton assay station.

The radon emanation of the following subsystems in PandaX-4T and samples is measured (table 6). The radon emanation rate of the PMT R11410 is obtained by scaling surface area from the measurement of the PMT R12699 with the assumption that the materials are similar for both. During operation, radon emanated from the distillation tower (DT), which is used to remove <sup>85</sup>Kr, will enter the xenon target since it is connected to the TPC. For the cryostat system, radon gas released by the inner vessel will directly get into the TPC. The getter, which is designed to remove electronegative gas (e.g., O<sub>2</sub>, N<sub>2</sub>) in the xenon, is measured under room temperature. The KNF pump, which is used to circulate the whole system, is also measured under different operation conditions to monitor if extra <sup>222</sup>Rn will be induced. Zirconium (Zr) beans is a candidate material to remove tritium in xenon target. The <sup>222</sup>Rn level in PandaX-4T detector can be better controlled with the screening results.

# 3.4 Krypton Assay Station

The krypton assay station is established at CJPL to measure the krypton concentration in xenon target. Figure 7 is the schematic drawing of the krypton assay station, which shows that the main components of the system are a residual gas analyzer (RGA), a cold trap, mass flow controllers (MFCs), a cold gauge, bottles, and pumps. The typical sensitivity of a RGA is  $\sim$ 1 ppm. To measure sample gas whose Kr-Xe concentration ratio is lower than  $1/10^6$ , a cold trap is added to the system. Making use of the difference of their vapor pressures, the cold trap is able to separate krypton and xenon. This is equivalent to

No.	Name	Concentration [ppt]
1	Original xenon	$1953 \pm 130$
2	Product xenon	<8
3	Liquid xenon sampling during operation	<12
4	Gas xenon sampling during operation	< 26

Table 7. natKr concentration measurement results (upper limit with 90% C.L. is given).

enriching the <sup>nat</sup>Kr ratio by a factor of  $10^5$ . The sensitivity of the <sup>nat</sup>Kr assay station can then be improved to  $\sim 10$  ppt [25]. The station can not only measure krypton concentration in the xenon target, but also monitor and evaluate the xenon purification with DT and the out-gassing from material.

Several groups of  $^{\rm nat}$ Kr assay results under different conditions are shown in table 7. After purification, the krypton concentration can be theoretically reduced from  $5\times 10^{-7}$  mol/mol to  $\sim 10^{-14}$  mol/mol [25]. One of the original xenon measurement results is shown in the table 7. The third and fourth groups show that no evidence of krypton impurities brought in both liquid and gas xenon during operation. Based on the measurements, the concentration of  $^{\rm nat}$ Kr in PandaX-4T is estimated to be <8 ppt. The natural abundance of  $^{85}$ Kr in  $^{\rm nat}$ Kr is  $2\times 10^{-11}$ . Thus, the concentration of  $^{85}$ Kr can be calculated.

### 3.5 Alpha Detection System

The alpha detection system aims at measuring the surface radioactivity of the sample material. A commercial alpha detection system fabricated by ORTEC is employed. It uses an ion-implanted-silicon charged-particle radiation detector of the ULTRA-AS series from ORTEC to catch alpha radiations from the surface of sample materials, mainly <sup>210</sup>Po. The vacuum of the 966 cm<sup>3</sup> chamber can reach 10 mTorr and the blank is about 0.2 mHz. A Monte Carlo simulation based on the GEANT4 toolkit [19] was used to calculate the detection efficiency for each sample.

In order to remove radon daughters on copper surface, efficient surface cleaning methods have been investigated with the alpha detection system [21]. Similar to the cleaning procedures to remove surface <sup>238</sup>U and <sup>232</sup>Th described in section 3.2, the following four steps are included: degrease, pickling, passivation, and drying. For the first step, immerse the sample in 1% Alconox detergent and clean ultrasonically for 20 mins. The other steps are the same as previous description. Each group of pickling solution was measured three times and the average removal efficiency of <sup>210</sup>Po is demonstrated in table 8. It can be seen that citric acid is the most effective.

#### 3.6 NAA

Neutron activation analysis refers to a technique of analyzing elemental composition of a sample material using neutron activation. In the sample material, nuclei activated by neutrons emit characteristics  $\gamma$  radiation and decay into corresponding daughter nuclei.

No.	Pickling solution	Average Removal Efficiency [%]
1	$1\% \text{ H}_2\text{SO}_4 + 3\% \text{ H}_2\text{O}_2$	$79.4\pm2.7$
2	$15\% \text{ HNO}_3 + 2\% \text{ H}_2\text{O}_2$	$15.2\pm2.3$
3	$5\% \ C_6H_8O_7 + 8\% \ H_2O_2$	$99.9 \pm 2.3$

Table 8. Cleaning procedure investigation to remove radon daughters on copper surface [21].

Name	$^{40}\mathrm{K}$	$^{232}\mathrm{Th}$	<sup>238</sup> U
PTFE	$(4.65 \pm 0.19) \times 10^{-5}$	$0.04 \pm 0.01$	< 0.01

Table 9. NAA measurement of PTFE for PandaX-4T (unit: mBq/kg).

Take <sup>238</sup>U as an example, the reaction chain is shown as follows:

$$^{1}\text{n} + ^{238}\text{U} \rightarrow ^{239}\text{U} \xrightarrow{\beta^{-}} ^{239}\text{Np} \xrightarrow{239}^{239}\text{Pu}$$
 (3.1)

<sup>238</sup>U activated by neutrons decays to <sup>239</sup>Pu with sufficiently long half lives  $(2.4 \times 10^4 \text{ y})$ . The decay time of activated atoms can be boosted by a factor of  $10^{11}$  (= ratio of half-lives of <sup>238</sup>U and <sup>239</sup>Np). The gamma radiation occurring during the reaction could be thus measured by a HPGe detector. NAA can achieve substantially greater sensitivity than direct  $\gamma$  ray counting, typically at ppt and sub-ppt levels [26].

For PandaX-4T, NAA was used for screening PTFE, using the TRIGA Mark II research reactor of the University of Pavia (Italy) as neutron source and HPGe detectors at the Radioactivity Laboratory of Milano-Bicocca University. The raw materials of PTFE are provided by SANXIN and the processing is done by DONGYUE. The measurement result of PTFE by NAA is shown in table 9. Direct measurements of four batches of PTFE raw materials and two batches of processed PMT R8520 holders by JP-I are carried out as well (table 11). In addition, PTFE parts were soaked in 35% HNO<sub>3</sub> for 1 week before the assembly of the detector to reduce surface contamination.

# 4 Background Estimation

The background estimation of PandaX-4T experiment greatly depends on Geant4 simulation. A program called BambooMC [27] is specially designed to simulate the physical processes in the PandaX-4T detector.

Four cuts are developed in simulation to select DM candidates: single-scatter cut, veto cut, energy region of interest (ROI) cut, and fiducial volume (FV) cut.

• Single-scatter cut

According to the WIMPs model, the possibility of a DM particle scattering more than once with the xenon target is extremely small and can be neglected. The single scatter cut rejects events with multiple energy depositions in the simulation.

Unit: mDRU	ER	NR
PMT	$(5.3 \pm 1.2) \times 10^{-3}$	$(8.9 \pm 1.5) \times 10^{-5}$
PTFE	$(2.1 \pm 0.3) \times 10^{-5}$	$(8.2 \pm 1.3) \times 10^{-6}$
Copper	$(1.7 \pm 0.2) \times 10^{-6}$	$(2.5 \pm 0.2) \times 10^{-8}$
Inner vessel	$(1.9 \pm 0.8) \times 10^{-3}$	$(4.7 \pm 3.7) \times 10^{-5}$
Outer vessel	$(2.7 \pm 1.3) \times 10^{-3}$	$(1.4 \pm 0.5) \times 10^{-4}$
Total Material	$(9.9 \pm 1.9) \times 10^{-3}$	$(2.8 \pm 0.6) \times 10^{-4}$

Table 10. Material background contribution in PandaX-4T detector (unit: mDRU).

#### • Veto cut

In BambooMC, a skin layer is designed corresponding to the veto compartment containing PMT R8520 in the detector. In this skin layer, the events that deposit energy more than  $705\,\mathrm{keV_{ee}}$  are rejected.

• ROI cut
The ROI of dark matter is set to be [1, 25] keV<sub>ee</sub>.

#### • FV cut

Due to the self-shielding effect of liquid xenon, the vast majority of background events from materials deposit energy near the edge of the xenon target. Hence, the fiducial volume is necessary to be chosen in order to reject background events and increase the sensitivity (signal-noise ratio). In this result, the same FV volume as in [8] is chosen.

Combining the radioactive assay results (summarized in table 12) and Monte Carlo simulation, the total material background of PandaX-4T can be estimated. The non-uniformity of the radioactive isotopes distribution in the material is not considered in this calculation. The background rate is calculated in units of mDRU (=  $10^{-3}$  events kg<sup>-1</sup>day<sup>-1</sup>keV<sup>-1</sup>). The background contributions from different components are summarized in table 10. PMT, inner vessel, and outer vessel are the three major background sources.

#### 5 Summary

The PandaX-4T facility is ready since August, 2019. Various screening technologies have been established and are able to meet the requirements of different radioactivity measurements. Strict material assays were performed to minimize the material background. Based on the counting results, the total material background of PandaX-4T is calculated to be  $(9.9 \pm 1.9) \times 10^{-3}$  mDRU for ER and  $(2.8 \pm 0.6) \times 10^{-4}$  mDRU for NR. In addition, nat Kr in the detector is estimated to be < 8 ppt. Meanwhile, surface cleaning procedures were investigated to further reduce the material surface background, and to make sure that no extra contamination will be introduced during the transport and assembly process. Relative to PandaX-II [7], the rigorous selection of materials and cleaning procedures lead to a reduction of  $\sim 95\%$  (87%) in material background for ER (NR). The  $^{222}$ Rn level and

the <sup>nat</sup>Kr concentration obtained from the commissioning run is  $5.9 \pm 0.1 \,\mu\text{Bq/kg}$  and  $0.33 \pm 0.21 \,\text{ppt}$  [8], which decreased  $\sim 40\%$  and 96% compared to PandaX-II.

The sensitivity of WIMP-nucleon interaction is largely dependent on the background of the detector. The sensitivity is proportional to exposure time, fiducial volume mass, and inversely proportional to square root of background rate. Suppressing the material background helps extend fiducial volume and reduce total background rate of the detector, leading to an improvement of sensitivity. With the background level estimated in this paper and the PandaX-4T commissioning run data set, the lowest excluded value of the dark matter-nucleon spin-independent interactions reaches  $3.8 \times 10^{-47}~\rm cm^2$  at  $40~\rm GeV/c^2$  with an exposure of 0.63 tonne-year [7]. Besides WIMP searching, the PandaX-4T experiment has many other physical goals, such as measurement of neutrinoless double beta decay (NLDBD) half-life. The majority of the background events in the ROI ([2.4, 2.7] MeV) of NLDBD is from detector components and radon emanation. Lower the material background and enlarge the fiducial volume at the same time can boost the sensitivity to measure the half-life.

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# A Supplement to radioactive measured results

In the appendix, the radioactive supplement results are listed. The sample screening results with two HPGe detectors are shown in table 11. Batch material screening was performed and low radioactive materials were selected for the PandaX-4T detector construction. Table 12 summarizes the radioactivity inputs (including statistical errors and systematic errors) of background estimation.

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Name	Supplier	Detector	Material	Mass (kg)	Unit	$^{\rm o}{\rm C}_{\rm o}$	$^{137}\mathrm{Cs}$	$^{40}\mathrm{K}$	$^{232}\mathrm{Th}_{e}$	$^{232}\mathrm{Th}_{l}$	$^{235}\mathrm{U}$	$^{238}\mathrm{U}_{e}$	$^{238}U_{l}$
Metal													
P3TB	TISCO	JP-I	Stainless steel	1.24	mBq/kg	<3.59	<3.24	< 29.10	< 9.28	<3.52	<17.00	<43.55	< 4.65
P3TD	TISCO	JP-I	Stainless steel	0.77	mBq/kg	<3.02	< 2.89	<37.62	<11.23	<8.62	<4.43	<42.65	<4.50
P3TF	TISCO	JP-I	Stainless steel	0.83		<1.99		<44.36	<7.63	$6.62 \pm 2.01$	<7.38	<23.16	<3.93
P4TD	TISCO	JP-I	Stainless steel	2.31		< 2.27		<60.60	<6.47	<3.07	<7.81	<44.04	< 4.02
P4TG	TISCO	JP-I	Stainless steel	0.84		<1.77	•	<33.12	<5.69	<5.16	<9.92	< 23.64	<1.92
P4TH	TISCO	JP-I	Stainless steel	0.82		< 2.32		<41.37	<8.85	$8.11\pm2.34$	<5.69	<27.97	<6.78
P4TI	TISCO	JP-I	Stainless steel	0.87		<3.00	•	<34.76	<12.20	<10.07	<7.30	<81.37	<4.51
P4TJ	TISCO	JP-I	Stainless steel	0.64		<1.61		<28.56	<7.76	<5.42	<6.63	<32.56	<3.63
P4TK	TISCO	JP-I	Stainless steel	1.53		< 2.37	•	<17.57	<4.60	< 2.05	<1.94	<29.07	< 2.16
P4TL	TISCO	JP-I	Stainless steel	1.45		<3.13		<34.42	<7.64	<3.66	<4.74	<95.20	<4.56
P4TP	TISCO	JP-I	Stainless steel	0.70		<2.78		<39.04	<10.34	< 2.92	<4.32	<44.55	<6.60
P4TQ	TISCO	JP-I	Stainless steel	1.05	mBq/kg	<3.39	<3.39	<33.75	<9.16	<10.28	<19.65	< 102.51	<10.86
P4TR	TISCO	JP-I	Stainless steel	0.92		< 2.35		<51.76	<7.52	<8.96	<4.18	<88.84	<6.70
P4TS	TISCO	JP-I	Stainless steel	99.0		<5.73		<88.22	<18.72	< 16.24	<8.61	<105.37	<17.37
P4TT	TISCO	JP-I	Stainless steel	1.00		< 2.56		<44.01	<7.98	$8.29 \pm 2.57$	<3.92	< 122.01	<3.83
P4TU	TISCO	JP-I	Stainless steel	1.64		< 2.19		<30.42	<6.57	<7.65	<3.80	<105.29	<5.71
P4TV	TISCO	JP-I	Stainless steel	5.22		<1.80		<21.05	<5.81	<5.07	<15.16	<34.59	<3.63
Threaded insert		JP-I	Stainless steel	ı		$126.97 \pm 3.23$	٧	<23.56	<6.43	$74.50 \pm 3.61$	<80.45	<47.95	<1.89
Packing of distillation tower		JP-I	Stainless steel	0.58		$629.81 \pm 11.15$		<114.68	$39.22 \pm 9.76$	$47.76 \pm 5.89$	<17.97	<177.53	<7.30
Copper bars	LUOYANG COPPER	JP-I	Copper	1.71	mBq/kg	<1.22	<1.10	<16.73	<4.20	< 2.31	<5.21	< 29.65	<3.18
Zr beans	NANJING YOUTIAN	JP-I	Zirconium	1.00	${ m mBq/kg}$	<1.40	<1.60	<37.81	<6.05	< 2.20	<175.98	<26.31	<3.77
PMT Components													
PMT R11410	Hamamatsu	JP-I	1	I	mBq/pc	<2.34	<1.85	<22.34		<3.06	<28.29	<56.48	<3.99
Faceplate of PMT R11410	Hamamatsu	JP-I	Quartz		mBq/pc	< 0.53	< 0.42	<7.08	<1.23	<0.40	< 2.52	<3.69	>0.66
Pure alminum sheet used in PMT R11410	Hamamatsu	JP-II	Aluminum	0.03	mBq/kg	<19.64	<66.97	< 1169.75		<36.60	<298.00	<394.29	<69.22
Ceramic stem body of PMT R11410	Hamamatsu	JP-I	Ceramic	0.496	mBq/kg	<1.05	<1.97	$82.31 \pm 13.26$		$7.30\pm1.49$	<7.10	$76.95 \pm 18.12$	$15.58\pm1.46$
Spring of PMT R11410		JP-I	Stainless steel		mBq/pc	$1.24\pm0.06$	<0.04	<1.15		< 0.05	< 0.15	<1.31	< 0.10
Base of PMT R11410		JP-I		1	mBq/pc	< 0.24	< 0.24	<2.81		<0.40	<3.68	>6.66	$0.68\pm0.21$
Base of PMT R11410	1	JP-II	1	1	mBq/pc	<0.01	<0.88	<8.73		<0.97	<2.88	$8.68 \pm 2.82$	<1.65
Spare base of PMT R11410		JP-I		1	mBq/pc	<0.04	< 0.05	$1.12 \pm 0.34$		$0.25 \pm 0.05$	<1.22	$7.47 \pm 0.72$	$1.04 \pm 0.05$
PCB of PMT R11410 (empty)		JP-I	Kapton	1	mBq/pc	< 0.19	< 0.14	< 2.10		< 0.21	<0.16	<5.68	<0.35
Capacitor	KEMET	JP-I	1	1	mBq/pc	<0.01	< 0.01	< 0.26		$0.23 \pm 0.02$	< 0.01	$1.24 \pm 0.18$	$1.30\pm0.03$
Capacitor	Johanson	JP-I	1	1	mBq/pc	<0.01	<0.01	< 0.35		<0.01	<0.06	$7.88 \pm 0.41$	$9.46 \pm 0.09$
Capacitor	Vishay	JP-I	1	1	mBq/pc	< 0.02	< 0.01	<0.41		$0.14 \pm 0.01$	< 0.01	$0.62 \pm 0.13$	$0.68\pm0.02$
Capacitor	AVX	JP-I		1	mBq/pc	<0.01	<0.01	<0.14		$0.04 \pm 0.01$	<0.01	$0.47 \pm 0.09$	$0.63\pm0.02$
Capacitor	Yageo	JP-I			mBq/pc	<0.01	<0.01	<0.19		< 0.02	< 0.01	< 0.17	$0.13\pm0.01$
Capacitor	Knowles	JP-I			mBq/pc	< 0.01	<0.01	<0.06		<0.00	<0.15	< 0.27	$0.16 \pm 0.01$

Name	Supplier	Detector	Detector Material	Mass (kg)	Unit	ω <sub>C</sub> C <sub>0</sub>	137Cs	40K	232Th,	232Th,	23513	23811	238UJ,
Resistor $(0 \text{ M}\Omega)$		JP-I	1		mBq/pc	$<1.53\times10^{-3}$	$<1.21\times10^{-3}$	$<1.88\times10^{-2}$	<6.46×10 <sup>-3</sup>	<2.96×10 <sup>-3</sup>	$<1.46\times10^{-3}$	<1.17×10 <sup>-2</sup>	<3.78×10 <sup>-3</sup>
Resistor (5 M $\Omega$ )		JP-I			mBq/pc	$< 1.25 \times 10^{-3}$	$< 9.90 \times 10^{-4}$	$0.04 \pm 0.01$	$(9.69\pm 2.26){\times}10^{-3}$	$(7.21 \pm 1.17) \times 10^{-3}$	$<1.13\times10^{-3}$	$<5.28{\times}10^{-2}$	$(1.01 \pm 0.13) \times 10^{-2}$
Resistor $(7.5 \text{ M}\Omega)$		JP-I	I		mBq/pc	$< 2.24 \times 10^{-3}$	$<1.79\times10^{-3}$	$< 2.21 \times 10^{-2}$	$<1.66\times10^{-2}$	$(1.09 \pm 0.20) \times 10^{-2}$	$< 2.07 \times 10^{-3}$	$<6.96\times10^{-2}$	$(1.13 \pm 0.20) \times 10^{-3}$
Resistor (10 M $\Omega$ )		JP-I	1	1	mBq/pc	$<1.59\times10^{-3}$	$<1.03\times10^{-3}$	$0.03 \pm 0.01$	$(1.29 \pm 0.25) \times 10^{-2}$	$(1.00 \pm 0.13) \times 10^{-2}$	$<1.14\times10^{-3}$	$<1.96\times10^{-2}$	$(1.34 \pm 0.14) \times 10^{-3}$
Resistor (20 M $\Omega$ )		JP-I	1	1	mBq/pc	$<1.49{\times}10^{-3}$	$<1.12\times10^{-3}$	$<1.75\times10^{-2}$	$<3.78\times10^{-3}$	$(3.74 \pm 1.09) \times 10^{-3}$	$<1.22\times10^{-3}$	$<1.02{\times}10^{-2}$	$<4.45\times10^{-3}$
Resistor (100 k $\Omega$ )		JP-I	1	1	mBq/pc	$<1.50\times10^{-3}$	$<1.25\times10^{-3}$	$(2.34 \pm 0.77) \times 10^{-2}$	1	$(9.97 \pm 1.07) \times 10^{-3}$	$<1.77{\times}10^{-3}$	$< 2.49 \times 10^{-2}$	$(1.66 \pm 0.12) \times 10^{-2}$
Socket of PMT R11410		JP-I	1		mBq/pc	<0.01	< 0.01	< 0.12	< 0.05	<0.01	<0.01	<0.29	<0.01
Elbow pin		JP-I	1	1	mBq/pc	$< 9.20 \times 10^{-4}$	$<1.26\times10^{-3}$	$<1.27\times10^{-3}$	$<9.90\times10^{-4}$	$< 8.00 \times 10^{-4}$	$<6.70\times10^{-4}$	$< 2.12 \times 10^{-3}$	$<7.70\times10^{-4}$
Solder		JP-I		0.17	mBq/kg	<10.71	<8.28	<174.58	<31.07	<7.67	<9.83	<73.35	<9.65
PMT R8520	Hamamatsu	JP-II	1	1	mBq/pc	<0.61	<1.11	<23.09	< 2.78	>0.66	<5.57	<10.92	<1.50
Base of PMT R8520	Hamamatsu	JP-II	1	1	mBq/pc	< 0.12	< 0.23	<3.67	< 0.81	<0.13	<1.08	<3.79	$0.69 \pm 0.14$
Cable	KUNXINGSHENGDA	JP-I	Kapton		mBq/m	< 0.01	<0.01	$1.25\pm0.14$	<0.06	<0.03	<0.38	<0.14	<0.04
PTFE													
PTFE #1	SANXIN	JP-I	PTFE	0.89	mBq/kg	<1.35	<1.66	<29.28	<4.24	< 2.79	<3.61	<50.32	< 2.37
PTFE #2	SANXIN	JP-I	PTFE	1.79	mBq/kg	<1.32	<1.35	<26.64	<5.48	$5.15 \pm 1.41$	< 4.12	<13.91	<3.20
PTFE #3	SANXIN	JP-I	PTFE	1.23	mBq/kg	<2.76	< 2.77	<25.28	<10.50	< 9.01	<19.73	<128.38	<4.36
PTFE #4	SANXIN	JP-I	PTFE	0.61	mBq/kg	<2.95	< 2.86	<66.14	<7.18	< 2.33	<6.61	<27.00	<5.08
PMT R8520 holder #1	DONGYUE	JP-I	PTFE	0.39	mBq/kg	<3.46	<3.99	<116.51	<13.60	<8.32	<5.23	<37.71	<4.58
PMT R8520 holder #2	DONGYUE	JP-I	PTFE	1.28	mBq/kg	<1.95	<1.97	<49.05	<5.99	<3.53	<2.90	<22.44	<2.63

Table 11. HPGe counting station measurement results (upper limit with 90% C.L. is given).

m	unit	$^{\rm o}{ m Co}$	$^{137}\mathrm{Cs}$	$^{40}{ m K}$	$^{232}\mathrm{Th}_{e}$	$^{232}\mathrm{Th}_{l}$	$^{235}\mathrm{U}$	$^{238}\mathrm{U}_{e}$	$^{238}\mathrm{U}_{l}$
PMT R11410 mBc	3q/pc	$mBq/pc 1.16 \pm 0.72$	$0.52 \pm 0.81$	$8.37 \pm 8.47$	$4.33 \pm 2.16$	$1.50 \pm 0.96$	$13.13 \pm 8.53$	$26.29 \pm 16.90$	$2.05 \pm 1.18$
Window of PMT R11410 mBc	nBq/pc	$0.00\pm0.32$	$0.05\pm0.22$	$1.78 \pm 3.22$	$0.00\pm0.75$	$0.08\pm0.20$	$0.00\pm2.24$	$0.13 \pm 0.32$	$0.00 \pm 2.24$
Stem of PMT R11410 mBc	nBq/kg	$0.26 \pm 0.48$	$0.97\pm0.61$	$82.31 \pm 13.26$	$4.07 \pm 1.82$	$7.30\pm1.49$	$3.74\pm2.04$	$76.95 \pm 18.12$	$15.58 \pm 1.46$
Base of PMT R11410 mBc	nBq/pc	$0.03\pm0.05$	$0.00\pm0.28$	$0.76 \pm 2.41$	$0.28 \pm 0.62$	$0.28\pm0.18$	$0.46 \pm 1.22$	$6.97 \pm 1.94$	$0.84\pm0.22$
Spring of PMT R11410 mBc	nBq/pc	$0.04 \pm 0.01$	$0.00 \pm 0.01$	$0.00 \pm 0.03$	$0.01 \pm 0.01$	$0.02 \pm 0.01$	$0.00 \pm 0.01$	$0.00 \pm 0.03$	$0.01 \pm 0.01$
PMT R8520 mBc	nBq/pc	$0.28 \pm 0.20$	$0.11\pm0.61$	$9.70 \pm 8.14$	$0.23 \pm 1.55$	$0.00 \pm 0.40$	$0.59\pm3.03$	$3.30 \pm 4.63$	$0.51\pm0.60$
Base of PMT R8520 mBc	nBq/pc	$0.00 \pm 0.07$	$0.02\pm0.13$	$0.00 \pm 2.36$	$0.25\pm0.31$	$0.00 \pm 0.07$	$0.00\pm0.57$	$1.89 \pm 0.95$	$0.64\pm0.13$
IV barrel and IV dome mBc	nBq/kg	$1.07 \pm 1.26$	$0.17 \pm 1.28$	$8.89 \pm 15.51$	$0.36 \pm 4.42$	$0.72 \pm 1.82$	$0.32 \pm 2.67$	$30.23 \pm 41.16$	$1.17\pm2.04$
OV barrel mBc	nBq/kg	$0.00\pm1.27$	$0.00\pm1.16$	$26.13 \pm 13.42$	$0.20 \pm 2.86$	$1.34\pm1.83$	$5.26\pm2.71$	$40.87 \pm 22.77$	$0.53 \pm 1.44$
OV domes and electrodes mBc	nBq/kg	$0.51 \pm 1.04$	$0.00 \pm 0.99$	$3.00 \pm 9.84$	$2.48 \pm 2.57$	$3.17\pm1.72$	$2.78\pm2.42$	$40.84 \pm 24.03$	$1.51\pm1.33$
Flanges and bolt screws mBc	nBq/kg	$0.00\pm1.15$	$0.00 \pm 1.09$	$25.51 \pm 12.52$	$3.27\pm2.77$	$2.60\pm1.82$	$2.81 \pm 1.90$	$0.00\pm15.81$	$0.48 \pm 1.33$
Threaded insert mBc	nBq/pc 4	$43.93 \pm 1.15$	$0.25 \pm 0.20$	$1.74 \pm 2.83$	$1.27\pm0.83$	$19.45 \pm 1.01$	$0.99 \pm 0.72$	$7.94 \pm 5.73$	$0.00 \pm 0.31$
Shaping rings and copper plates mBc	mBq/kg				$(5.16 \pm 1.38) \times 10^{-3}$			$(5.59 \pm 0.15) \times 10^{-2}$	
	mBq/kg			$(4.65\pm0.19){\times}10^{-5}$	$0.04\pm0.01$			<0.01	

Table 12. Radioactivity input in the background estimation.

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